

#15/111  
4-10-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 98-385-E)

PATENT

In re Application of:	Hauptmann et al.	)	
		)	
Serial No.:	09/525,998	)	Before the Examiner: E. O'Hara
		)	
Filed:	March 15, 2000	)	Group Art Unit: 1646
		)	
For:	TNF Receptors, TNF Binding	)	
	Proteins and DNAs Coding	)	
	For Them	)	

Commissioner for Patents  
Washington, D.C. 20231

Madam:

**RESPONSE TO RESTRICTION REQUIREMENT MAILED MARCH 13, 2002**

Responsive to the Restriction Requirement, mailed March 13, 2002, Applicants elect to prosecute those claims directed to nucleic acid molecules comprising the nucleotide sequences set forth in SEQ ID NO: 1 and SEQ ID NO: 3, designated as Group A by the Examiner, with traverse. The basis for Applicants' traversal of the requirement is as follows.

Applicants respectfully submit that there will be no undue hardship on the Office in performing a search with respect to nucleic acid molecules comprising the nucleotide sequences set forth in SEQ ID NOs: 1, 3, 5, 7, 9, 11, 13, 15, 17, and 19. A ClustalW multiple sequence alignment of the polypeptides encoded by these nucleotide sequences (*i.e.*, the amino acid sequences set forth in SEQ ID NOs: 2, 4, 6, 8, 10, 12, 14, 16, 18, and 20) is shown in Exhibit A. The sequence alignment was performed using the application MacVector 7.1.1 (Accelrys, Cambridge, UK; <http://www.accelrys.com>) at the default settings. This sequence alignment indicates that there is a substantial degree of homology between the amino acid sequences set forth in SEQ ID NOs: 2, 4, 6, 8, 10, 12, 14, 16, 18, and 20.

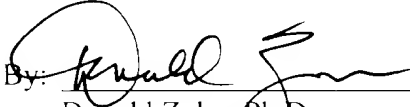
The nucleotide sequence set forth in SEQ ID NO: 1 encodes the TNF receptor protein (specification p. 3, ln. 30 to p. 4, ln. 25). The nucleotide sequence consisting of nucleotides 1

through 87 of SEQ ID NO: 1 (which is equivalent to the nucleotide sequence set forth in SEQ ID NO: 3) encodes a secretable TNF-binding protein (specification p. 4, ln. 27-41). As shown in Exhibit A, the polypeptides encoded by the nucleotide sequences set forth in SEQ ID NOs: 5, 7, 9, 11, 13, 15, 17, and 19 all possess this portion of the TNF receptor protein. Moreover, this portion constitutes between 76.3% (SEQ ID NO: 8) and 99.4% (SEQ ID NO: 6) of the polypeptides encoded by the nucleotide sequences set forth in SEQ ID NOs: 5, 7, 9, 11, 13, 15, 17, and 19. With the exception of an addition of ATG at the 5' end of the nucleotide sequences set forth in SEQ ID NOs: 5, 9, 15, and 19, the polypeptides encoded by the nucleotide sequences set forth in SEQ ID NOs: 5, 7, 9, 11, 13, 15, 17, and 19 differ only by the presence or absence of sequences encoding the signal peptide (nucleotides 1 through 87 of SEQ ID NO: 1; specification p. 21, ln. 35 to p. 22, ln. 1), the portion of pro-protein cleaved following secretion (nucleotides 88 through 120 of SEQ ID NO: 1; specification p. 22, ln. 7-11), and the linker region (nucleotides 604 through 633 of SEQ ID NO: 1; specification p. 22, ln. 12-15) of TNF receptor protein. Applicants respectfully submit that there will be no undue hardship on the Office in performing a search with respect to the nucleotide sequences of SEQ ID NOs: 5, 7, 9, 11, 13, 15, 17, and 19, since a search for the elected nucleotide sequence set forth in SEQ ID NO: 3 will identify all of the non-elected sequences.

Applicants do not believe any additional fee is required. However, the Commissioner is authorized to charge any deficiency to Deposit Account No. 13-2490. If Examiner O'Hara believes it to be helpful, she is invited to contact the undersigned representative by telephone at (312) 913-0001.

Respectfully submitted,  
**McDonnell Boehnen Hulbert & Berghoff**

Dated: April 3, 2002

By:   
Donald Zuhn, Ph.D.  
Reg. No. 48,710



## EXHIBIT A

ClustalW (v1.4) multiple sequence alignment

10 Sequences Aligned                      Alignment Score    54899  
Gaps Inserted        2                      Conserved Identification    100

Pairwise Alignment Mode: Slow

Pairwise Alignment Parameters:

Open Gap Penalty    10.0    Extend Gap Penalty    0.1  
Similarity Matrix: blosum

Multiple Alignment Parameters:

Open Gap Penalty = 10.0    Extend Gap Penalty    0.0  
Delay Divergent = 40        Gap Distance = 8  
Similarity Matrix: blosum

Processing time: 3.5 seconds

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SEQ 2      1  MGISTVPDLLLPLVLELELNGIYPSGVIGLVPHLGDFEKFDSVCPQGHYI  50
SEQ 4      1                                     DSVCPQGHYI  10
SEQ 6      1                                     MDSPVCPQGHYI  11
SEQ 8      1  MGISTVPDLLLPLVLELELNGIYPSGVIGLVPHLGDFEKFDSVCPQGHYI  50
SEQ 10     1                                     NLVPHLGDFEKFDSVCPQGHYI  12
SEQ 12     1  MGISTVPDLLLPLVLELELNGIYPSGVIG-----DSVCPQGHYI  39
SEQ 14     1  MGISTVPDLLLPLVLELELNGIYPSGVIGLVPHLGDFEKFDSVCPQGHYI  50
SEQ 16     1                                     NLVPHLGDFEKFDSVCPQGHYI  32
SEQ 18     1  MGISTVPDLLLPLVLELELNGIYPSGVIG-----DSVCPQGHYI  39
SEQ 20     1                                     MDSVCPQGHYI  11
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SEQ 2      51  HQQINSICCTKCHKSTYLYNDCPGPGQDTTCFECESGSFTASENHLEHCL  100
SEQ 4      11  HQQINSICCTKCHKSTYLYNDCPGPGQDTTCFECESGSFTASENHLEHCL  80
SEQ 6      12  HQQINSICCTKCHKSTYLYNDCPGPGQDTTCFECESGSFTASENHLEHCL  61
SEQ 8      51  HQQINSICCTKCHKSTYLYNDCPGPGQDTTCFECESGSFTASENHLEHCL  100
SEQ 10     23  HQQINSICCTKCHKSTYLYNDCPGPGQDTTCFECESGSFTASENHLEHCL  72
SEQ 12     40  HQQINSICCTKCHKSTYLYNDCPGPGQDTTCFECESGSFTASENHLEHCL  89
SEQ 14     51  HQQINSICCTKCHKSTYLYNDCPGPGQDTTCFECESGSFTASENHLEHCL  100
SEQ 16     23  HQQINSICCTKCHKSTYLYNDCPGPGQDTTCFECESGSFTASENHLEHCL  72
SEQ 18     40  HQQINSICCTKCHKSTYLYNDCPGPGQDTTCFECESGSFTASENHLEHCL  89
SEQ 20     17  HQQINSICCTKCHKSTYLYNDCPGPGQDTTCFECESGSFTASENHLEHCL  61
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SEQ 2      101 SCSPCFFEMSGVEISSCTVIFDTVCGCFINQYFHYWSENLFQCFNCSLCL  150
SEQ 4      61 SCSPCFFEMSGVEISSCTVIFDTVCGCFINQYFHYWSENLFQCFNCSLCL  119
SEQ 6      62 SCSPCFFEMSGVEISSCTVIFDTVCGCFINQYFHYWSENLFQCFNCSLCL  111
SEQ 8      101 SCSPCFFEMSGVEISSCTVIFDTVCGCFINQYFHYWSENLFQCFNCSLCL  150
SEQ 10     73 SCSPCFFEMSGVEISSCTVIFDTVCGCFINQYFHYWSENLFQCFNCSLCL  122
SEQ 12     90 SCSPCFFEMSGVEISSCTVIFDTVCGCFINQYFHYWSENLFQCFNCSLCL  139
SEQ 14     101 SCSPCFFEMSGVEISSCTVIFDTVCGCFINQYFHYWSENLFQCFNCSLCL  150
SEQ 16     73 SCSPCFFEMSGVEISSCTVIFDTVCGCFINQYFHYWSENLFQCFNCSLCL  122
SEQ 18     90 SCSPCFFEMSGVEISSCTVIFDTVCGCFINQYFHYWSENLFQCFNCSLCL  139
SEQ 20     62 SCSPCFFEMSGVEISSCTVIFDTVCGCFINQYFHYWSENLFQCFNCSLCL  111
*****
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SEQ 2	151	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCKHSELECTHLC	200
SEQ 4	111	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCKHSELECTHLC	160
SEQ 6	112	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCKHSELECTHLC	161
SEQ 8	151	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCKHSELECTHLC	200
SEQ 10	123	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCKHSELECTHLC	172
SEQ 12	140	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCKHSELECTHLC	189
SEQ 14	151	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCKHSELECTHLC	200
SEQ 16	123	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCKHSELECTHLC	172
SEQ 18	140	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCKHSELECTHLC	189
SEQ 20	112	NGTVHLSCQEFQNTVCTCHAGFFLRENECVSCSNCKHSELECTHLC	161

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SEQ 2	201	NVFGTEDSGTIVLLH LVIFFGLCLLGLFGLMRYQKWKJRLYCTVGR	210
SEQ 4	161	N	161
SEQ 6	162	N	162
SEQ 8	201	NVFGTEDSGTT	211
SEQ 10	172	NVFGTEDSGTT	182
SEQ 12	190	NVFGTEDSGTT	200
SEQ 14	201	N	201
SEQ 16	172	N	172
SEQ 18	190	N	190
SEQ 20	161	NVFGTEDSGTT	171

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SEQ 2	211	STPEKEGELECTTTTKPLAPNPSFSPTPGFTPTLGFSVWFSSTFTSSNTYT	200
SEQ 4	161		161
SEQ 6	161		161
SEQ 8	212		211
SEQ 10	184		183
SEQ 12	201		200
SEQ 14	202		201
SEQ 16	174		173
SEQ 18	191		190
SEQ 20	173		172

SEQ 2	301	PGDCPNFAAPERREVAPPYQGADPILATALASDPIPNPLQKWEDSAHKPQS	310
SEQ 4	161		161
SEQ 6	163		162
SEQ 8	217		211
SEQ 10	184		183
SEQ 12	201		200
SEQ 14	201		201
SEQ 16	174		173
SEQ 18	191		190
SEQ 20	173		172

SEQ 2	351	LDTDDPATLYAVVENVFELRWKEFVRRRLGLSDHEIDRLQLQNGKCIKEAQ	400
SEQ 4	162		161
SEQ 6	163		162
SEQ 8	212		211
SEQ 10	184		183
SEQ 12	201		200
SEQ 14	202		201
SEQ 16	174		173
SEQ 18	191		190
SEQ 20	173		172

SEQ 2	401	YSMLATWRRRTFRKEATLELLGRVLRDMDLLGQLEHIEEALQGGAALEIA	450
SEQ 4	162		161
SEQ 6	163		162
SEQ 8	212		211
SEQ 10	184		183
SEQ 12	201		200
SEQ 14	202		201
SEQ 16	174		173
SEQ 18	191		190
SEQ 20	173		172

SEQ 2	451	PSLLR	455
SEQ 4	162		161
SEQ 6	163		162
SEQ 8	212		211
SEQ 10	184		183
SEQ 12	201		200
SEQ 14	202		201
SEQ 16	174		173
SEQ 18	191		190
SEQ 20	173		172